# Role Description Manager, Compliance and Performance



Role Description Fields	Details
Cluster	Education
Department/Agency	Department of Education
Division/Branch/Unit	Operations / Shared Services / EDConnect / Business Services and Enablement
Role number	твс
Classification/Grade/Band	Clerk Grade 11/12
ANZSCO Code	511112
PCAT Code	3332224
Date of Approval	твс
Agency Website	education.nsw.gov.au

## Agency overview

The NSW Department of Education serves the community by providing world-class education for students of all ages.

We ensure young children get the best start in life by supporting and regulating the early childhood education sector. We are the largest provider of public education in Australia with responsibility for delivering high-quality public education to two-thirds of the NSW student population. We are committed to fostering vibrant, sustainable and high-performing vocational and higher education sectors.

We are responsible for enacting NSW Government policy, driving improvement in education, and overseeing policy, funding and compliance issues relating to non-government schools. We respect and value Aboriginal and Torres Strait Islander people as First Peoples of Australia.

# Primary purpose of the role

The Manager Compliance and Performance manages the governance of EDConnect's business processes to ensure compliance with regulatory requirements for the provision of shared services functions across the Department.

# Key accountabilities

- Consult with the Director to contribute to the development of strategies to enhance the governance of EDConnect's policies systems and procedures.
- Manage the team in the collection, research and analysis of performance data to identify emerging compliance risks and issues.
- Manage the development of evidence-based options and strategies, to resolve identified issues and mitigate associated risks.
- Develop and ensure the implementation of a range of metrics and performance reporting tools to monitor and evaluate performance to inform decision making and influence the development of policy and practice.
- Manage the development of the directorate's data systems to improve the quality of regulatory data, ensure its consistency and accuracy and enhance its usage in enabling analysis and governance.



- Oversee the preparation of quality evidence-based written briefs, proposals, correspondence and reports on compliance and performance issues to inform the decision-making processes for the executive management.
- Manage the conduct of compliance audits in line with legislated processes and procedures to ensure performance is accurately assessed and recorded to support the provision of compliance reporting to senior management.
- Build and maintain strong working relationships with internal and external stakeholders, providing expert
  advice and leading consultation on compliance and performance initiatives to identify, recommend and
  pursue opportunities for enhancing processes and procedures in line with the Department's commitment
  to continuous improvement.

# Key challenges

- Managing the delivery of a wide range of compliance and performance analysis and reports while providing expert advice to stakeholders with competing priorities and time-sensitive deadlines.
- Ensuring performance and compliance data sourced is accurate and relevant, to enable the analysis of trends and the delivery of practical insights and advice to the Directorate and business units.
- Developing and sustaining stakeholders' engagement and understanding of performance and compliance analysis outcomes and the need for remedial action to address associated issues and risks.

# Key relationships

#### Internal

Who	Why
Director	<ul> <li>Receive direction, advice, guidance and support.</li> </ul>
	<ul> <li>Provide advice and updates on performance and compliance analysis and reports and contribute to decision making.</li> </ul>
	Receive performance feedback.
Direct reports	Manage performance.
	<ul> <li>Provide leadership, advice, guidance and support.</li> </ul>
	Build knowledge and skills.
	<ul> <li>Provide performance feedback, coaching and development.</li> </ul>
Unit staff	<ul> <li>Provide direction and communication on organisational and operational issues.</li> </ul>
Key stakeholders	<ul> <li>Develop and maintain open channels of communications to consult, liaise and negotiate to achieve mutually beneficial outcomes.</li> </ul>

#### External

Who	Why		
Key stakeholders	<ul> <li>Develop and maintain channels of communication to facilitate liaison, consultation and engagement.</li> </ul>		

# **Role dimensions**

#### Decision making

High level of autonomy with full accountability for decisions required in the end-to-end management of governance policies, systems and processes. Consults with the Director on sensitive, high-risk or business critical matters to agree decisions on suitable courses of action.



The Manager Compliance and Performance has authority to make decisions about the design and coordination of workflows, as well as the deployment of team members, tasks and allocated resources to ensure the achievement of business and unit outcomes.

**Reporting line** 

Director, Business Services and Enablement

**Direct reports** 

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Budget/Expenditure

Manages a budget and has financial delegation in accordance with Department policy.

# Key knowledge and experience

 Knowledge of and commitment to implementing the Department's <u>Aboriginal Education Policy</u> and upholding the <u>Department's Partnership Agreement with the NSW AECG</u> and to ensure quality outcomes for Aboriginal people.

## **Essential requirements**

- Tertiary qualifications in a relevant discipline or demonstrated equivalent knowledge and experience.
- Demonstrated understanding of and commitment to the value of public education.
- Valid Working with Children Check.

# Capabilities for the role

The <u>NSW public sector capability framework</u> describes the capabilities (knowledge, skills and abilities) needed to perform a role. There are four main groups of capabilities: personal attributes, relationships, results and business enablers, with a fifth people management group of capabilities for roles with managerial responsibilities. These groups, combined with capabilities drawn from occupation-specific capability sets where relevant, work together to provide an understanding of the capabilities needed for the role.

The capabilities are separated into focus capabilities and complementary capabilities

#### **Focus capabilities**

*Focus capabilities* are the capabilities considered the most important for effective performance of the role. These capabilities will be assessed at recruitment.

The focus capabilities for this role are shown below with a brief explanation of what each capability covers and the indicators describing the types of behaviours expected at each level.



## Focus capabilities

Capability group/sets	Capability name	Be	havioural indicators	Level
Personal Attributes	Act with Integrity Be ethical and professional, and uphold and promote the public sector values	•	Model the highest standards of ethical and professional behaviour and reinforce their use	Advanced
		•	Represent the organisation in an honest, ethical and professional way and set an example for others to follow	
		•	Promote a culture of integrity and professionalism within the organisation and in dealings external to government	
		•	Monitor ethical practices, standards and systems and reinforce their use	
		•	Act promptly on reported breaches of legislation, policies and guidelines	
Relationships	Work Collaboratively Collaborate with others and value their contribution	•	Recognise outcomes achieved through effective collaboration between teams Build cooperation and overcome barriers to information sharing, communication and collaboration across the organisation and across government	Advanced
		•	Facilitate opportunities to engage and collaborate with stakeholders to develop joint solutions	
		•	Network extensively across government and organisations to increase collaboration Encourage others to use appropriate collaboration approaches and tools, including digital technologies	
**	Influence and Negotiate Gain consensus and	•	Negotiate from an informed and credible position	Adept
Relationships	commitment from others, and resolve issues and conflicts	•	Lead and facilitate productive discussions with staff and stakeholders	
		•	Encourage others to talk, share and debate ideas to achieve a consensus	
		•	Recognise diverse perspectives and the need for compromise in negotiating mutually agreed outcomes	
		•	Influence others with a fair and considered approach and sound arguments	
		•	Show sensitivity and understanding in resolving conflicts and differences	
		•	Manage challenging relationships with internal and external stakeholders	
		•	Anticipate and minimise conflict	



Results	Deliver Results Achieve results through the efficient use of resources and a commitment to quality outcomes	<ul> <li>Seek and apply the expertise of key individuals to achieve organisational outcomes</li> <li>Drive a culture of achievement and acknowledge input from others</li> <li>Determine how outcomes will be measured and guide others on evaluation methods</li> <li>Investigate and create opportunities to enhance the achievement of organisational objectives</li> <li>Make sure others understand that on-time and on-budget results are required and how overall success is defined</li> <li>Control business unit output to ensure government outcomes are achieved within budgets</li> <li>Progress organisational priorities and ensure that resources are acquired and used effectively</li> </ul>	Advanced
Results	Think and Solve Problems Think, analyse and consider the broader context to develop practical solutions	<ul> <li>Undertake objective, critical analysis to draw accurate conclusions that recognise and manage contextual issues</li> <li>Work through issues, weigh up alternatives and identify the most effective solutions in collaboration with others</li> <li>Take account of the wider business context when considering options to resolve issues</li> <li>Explore a range of possibilities and creative alternatives to contribute to system, process and business improvements</li> <li>Implement systems and processes that are underpinned by high-quality research and analysis</li> <li>Look for opportunities to design innovative solutions to meet user needs and service demands</li> <li>Evaluate the performance and effectiveness of services, policies and programs against clear criteria</li> </ul>	Advanced
Business Enablers	<b>Technology</b> Understand and use available technologies to maximise efficiencies and effectiveness	<ul> <li>Champion the use of innovative technologies in the workplace</li> <li>Actively manage risk to ensure compliance with cyber security and acceptable use of technology policies</li> <li>Keep up to date with emerging technologies and technology trends to understand how their application can support business outcomes</li> <li>Seek advice from appropriate subject-matter experts on using technologies to achieve business strategies and outcomes</li> <li>Actively manage risk of breaches to appropriate records, information and knowledge management systems, protocols and policies</li> </ul>	Advanced



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Business Enablers	Project Management Understand and apply effective planning, coordination and control methods	<ul> <li>Understand all components of the project Material Adept</li> <li>management process, including the need to consider change management to realise business benefits</li> <li>Prepare clear project proposals and accurate estimates of required costs and resources</li> <li>Establish performance outcomes and measures for key project goals, and define monitoring, reporting and communication requirements</li> <li>Identify and evaluate risks associated with the project and develop mitigation strategies</li> <li>Identify and consult stakeholders to inform the project strategy</li> <li>Communicate the project's objectives and its expected benefits</li> <li>Monitor the completion of project milestones against goals and take necessary action</li> <li>Evaluate progress and identify improvements to inform future projects</li> </ul>
People Management	Manage and Develop People Engage and motivate staff, and develop capability and potential in others	<ul> <li>Define and clearly communicate roles, responsibilities and performance standards to achieve team outcomes</li> <li>Adjust performance development processes to meet the diverse abilities and needs of individuals and teams</li> <li>Develop work plans that consider capability, strengths and opportunities for development</li> <li>Be aware of the influences of bias when managing team members</li> <li>Seek feedback on own management capabilities and develop strategies to address any gaps</li> <li>Address and resolve team and individual performance, in a timely and effective way</li> <li>Monitor and report on team performance in line with established performance development frameworks</li> </ul>



Optimise Business Outcomes       Initiate and develop longer-term goals and plans to guide the work of the team in line with organisational objectives       Adept         Management       Allocate resources to ensure the achievement of business outcomes and contribute to wider workforce planning       Allocate resources, implement processes that encourage the attraction and retention of people of diverse cultures, backgrounds and experiences       Initiate and develop longer-term goals and plans to guide the work of the team in line with organisational objectives       Adept         • Monitor performance against standards and take timely corrective actions       • Monitor performance against standards and take timely corrective actions       • Monitor performance outcomes	the team in line with ure the achievement contribute to wider implement the attraction and rse cultures, nces s base their decisions of business and risk pplied in a public inst standards and
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#### **Complementary capabilities**

*Complementary capabilities* are also identified from the Capability Framework and relevant occupation-specific capability sets. They are important to identifying performance required for the role and development opportunities.

Note: capabilities listed as 'not essential' for this role are not relevant for recruitment purposes however may be relevant for future career development.

Capability group/sets	Capability name	Description	Level
Personal Attributes	Display Resilience and Courage	Be open and honest, prepared to express your views, and willing to accept and commit to change	Adept
Personal Attributes	Manage Self	Show drive and motivation, an ability to self-reflect and a commitment to learning	Adept
Personal Attributes	Value Diversity and Inclusion	Demonstrate inclusive behaviour and show respect for diverse backgrounds, experiences and perspectives	Intermediate
Relationships	Communicate Effectively	Communicate clearly, actively listen to others, and respond with understanding and respect	Adept
Relationships	Commit to Customer Service	Provide customer-focused services in line with public sector and organisational objectives	Adept
Results	Plan and Prioritise	Plan to achieve priority outcomes and respond flexibly to changing circumstances	Adept

## **Complementary capabilities**



Results	Demonstrate Accountability	Be proactive and responsible for own actions, and adhere to legislation, policy and guidelines	Adept
Business Enablers	Finance	Understand and apply financial processes to achieve value for money and minimise financial risk	Adept
Business Enablers	Procurement and Contract Management	Understand and apply procurement processes to ensure effective purchasing and contract performance	Adept
People Management	Inspire Direction and Purpose	Communicate goals, priorities and vision, and recognise achievements	Intermediate
People Management	Manage Reform and Change	Support, promote and champion change, and assist others to engage with change	Intermediate

